Advanced Practice Registered Nurses and Physician Assistants in Sleep Centers and Clinics: A Survey of Current Roles and Educational Background


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Study Objectives: To survey Advanced Practice Registered Nurse (APRN) and Physician Assistant (PA) utilization, roles and educational background within the field of sleep medicine.

Methods: Electronic surveys distributed to American Academy of Sleep Medicine (AASM) member centers and APRNs and PAs working within sleep centers and clinics.

Results: Approximately 40% of responding AASM sleep centers reported utilizing APRNs or PAs in predominantly clinical roles. Of the APRNs and PAs surveyed, 95% reported responsibilities in sleep disordered breathing and more than 50% in insomnia and movement disorders. Most APRNs and PAs were prepared at the graduate level (89%), with sleep-specific education primarily through "on the job" training (86%). All APRNs surveyed were Nurse Practitioners (NPs), with approximately double the number of NPs compared to PAs.

Conclusions: APRNs and PAs were reported in sleep centers at proportions similar to national estimates of NPs and PAs in physicians’ offices. They report predominantly clinical roles, involving common sleep disorders. Given current predictions that the outpatient healthcare structure will change and the number of APRNs and PAs will increase, understanding the role and utilization of these professionals is necessary to plan for the future care of patients with sleep disorders. Surveyed APRNs and PAs reported a significant deficiency in formal and standardized sleep-specific education. Efforts to provide formal and standardized educational opportunities for APRNs and PAs that focus on their clinical roles within sleep centers could help fill a current educational gap.

Keywords: advanced practice registered nurse, physician assistant, nurse practitioner, sleep disorders, sleep center, role, utilization, scope of practice, sleep


Nurse practitioners (NPs), and Physician Assistants (PAs) have been providing care since the 1960s.2 There are approximately 200,000 NPs and PAs currently practicing in the United States; approximately 56% are NPs and 44% are PAs.3 NPs are the largest group of Advanced Practice Registered Nurses (APRNs) comprising 58% of APRNs.4 By 2025, estimates indicate that the numbers of PAs and NPs will increase by 74% and 94% respectively.5,6 Just under half (49%) of all physicians’ offices, and 41% of medical specialty practices, employ at least one NP, PA or certified nurse midwife(CNM).7 There are approximately one tenth the number of CNMs compared to NPs.4 The number of PAs and NPs is expected to increase overall with the increased demands associated with the implementation of the Affordable Care Act.8

There are no published data regarding APRNs and PAs within sleep centers. To date, knowledge of their numbers, utilization, and roles, as well as their educational background pertaining to sleep medicine, have been limited to anecdotal accounts.

APRN and PA Overview

From the patient perspective, the roles of the APRN and PA within a sleep center can be quite similar; their educational programs prepare them to provide clinical assessment, diagnosis, and treatment of patients. However, the scope of practice of the NP versus PA in the clinical setting may be affected by differences in educational backgrounds, state licensure, physician oversight, prescriptive authority, and reimbursement issues.

The term, APRN, refers to nurses with specialized training for providing direct care to individuals at an advanced level.9 APRNs are categorized into 4 groups, the clinical nurse specialist (CNS), certified registered nurse anesthetist (CRNA), certified nurse midwife (CNM), and nurse practitioner (NP). NPs are the most common sub-group of APRNs.4 Although the terms, NP and APRN, often are used interchangeably, this use is incorrect; all NPs are APRNs, but not all APRNs are NPs. There is some historical overlap, however variations exist between CNSs and NPs, particularly related to education and training in pharmacology, diagnosis, and treatment. Because of these differences, NPs generally have prescriptive authority while CNSs do not. Specialties for NPs are determined by the population (eg: adults) or work environment (eg: acute care).10 Examples of specialties that may be seen within sleep practices include Acute Care NP (ACNP), Adult NP (ANP), Family...
Practice NP (FNP), Pediatric NP (PNP), and Psychiatric/Mental Health NP (PMHNP). National efforts are underway to standardize APRN educational requirements, professional titles, areas of clinical practice, and state regulation. NP programs generally focus on a specific population (eg: pediatrics or adults), with the exception of training for FNPs which covers the lifespan. The NP clinical setting is determined by the area of specialty and may either focus on one setting (eg: outpatient) or multiple settings. PA educational programs include various clinical environments in both inpatient and outpatient settings for all age ranges.

Educational programs for APRNs are typically a blend of nursing and medical models, while PA programs are based exclusively on a medical model. The undergraduate education for APRNs prepares them for licensure as a registered nurse while the undergraduate education for PAs is typically in a health-related field. In general, NPs and PAs can perform health histories and physical examinations, order and interpret appropriate testing for further assessment and develop a treatment plan for patients with common health problems. Basic tests, such as laboratory testing, can be interpreted by a PA or NP. In certain clinical settings, other testing such as electrocardiography or x-rays may also be reviewed or interpreted by a NP or PA. Additionally, any testing, which includes a physician review and interpretation, may be utilized in their assessments (eg: sleep studies). APRNs and PAs can prescribe therapeutics including pharmacologic agents and durable medical equipment. The extent of APRN and PA formal education in pharmacology and prescribing can affect state legislation regarding the degree of prescriptive authority. Within the sleep specialty, controlled-substance prescribing is a commonly encountered prescriptive limitation resulting from either state- or federal-level regulation relating to the scheduled classification of the medication. Any drug that utilizes a central pharmacy (eg: sodium oxybate) must adhere to prescribing and dispensing rules for the state in which the central pharmacy is located, affecting prescribing by a provider when practicing in other states if the rules differ.

APRN organizations and the American Association of Colleges of Nursing have recommended that the doctoral degree (either a Doctorate in Philosophy [PhD] or Doctorate of Nursing Practice [DNP]) be the minimum level of education required for certification by 2015. The PhD provides preparation with a focus on research while the DNP provides a clinically focused doctoral degree, focusing on evidence-based advanced nursing practice. PA programs have a target date of 2020 to award a master’s degree as the minimum level of education to maintain national program accreditation. The American Academy of Nurse Practitioners (AANP) estimates that 98% of their members surveyed have a graduate degree and 14.6% have a doctoral degree (AANP personal communication). The American Academy of Physician Assistants estimates that 72% of clinically practicing PAs have a master’s degree, 5% have a doctoral degree or a graduate certificate, and 20% have a bachelor’s degree.

Many factors besides educational background influence the scope of practice for APRNs and PAs. State “licensure” is probably the most obvious determinant with wide variation; some states choose to narrowly define scope of practice, while others approach it broadly. In some states, the authorization to practice does not come through licensure, but rather through other regulatory processes referred to as recognition, registration, or certification. In general, PA oversight is through the state medical board while APRN oversight is by the board of nursing. State oversight may include medication prescribing, restricted geographic location, and physician supervision or collaboration with APRN and PA practice. Additionally, state educational requirements ultimately determine what the minimum degree is for practice recognition within a state. APRN practice models can vary from independent practices to physician-collaborative practices while PA practices require physician supervision. State and federal regulatory agencies also can influence APRN and PA practices through their policies on controlled-substances prescribing, ordering therapeutic devices such as durable medical equipment and reimbursement of services. Employer or healthcare facilities further can influence the APRN or PA scopes of practice through internal policies.

As part of the licensure process, each state board determines the minimum standard for formal educational preparation and whether or not national certification is required to practice within the state. For APRNs, the majority of states require graduate-level education and/or national certification to practice while a small number of states require master’s-level education as a minimum requirement for practice as a PA. Both APRNs and PAs have national organizations that determine standards for competency and educational preparation. PAs have one examination, whereas, NPs take specialty-specific certification examinations. Both disciplines require maintenance of a clinical practice and the completion of continuing education and professional-development activities for recertification. PAs and APRNs are allowed to use physician-level credits to meet their continuing education requirements; although, APRNs may need a portion of their credits to be earned from approved nursing organizations at an advanced practice level.

There are a growing number of post-graduation PA-residency training programs, primarily in emergency medicine and surgery, and PAs can take optional specialty certification exams offered by the National Commission on Certification of Physician Assistants. In addition, both APRNs and PAs may be eligible for subspecialty certifications that reflect advanced levels of preparation (eg: advanced diabetes management certification) or more general levels of preparation (eg: asthma educator) administered by multidisciplinary professional organizations.

No formal training or certification in sleep medicine currently exists for APRNs or PAs. As the push towards formal education and greater specialty training increases, having specialty certifications in sleep for APRNs and PAs may become desirable; anecdotal reports suggest that some state boards are requesting additional proof of knowledge (continuing education) and competency in cases in which APRNs and PAs hold positions that exceed the education and training obtained during their initial formal education (eg: family nurse practitioner working in a sleep center).

Finally, the terminology used to refer to these professional groups can be confusing to other disciplines. For example, there are a number of terms used to identify APRNs and PAs...
as one group. The Drug Enforcement Agency (DEA) uses the term mid-level provider (MLP), The Centers for Medicare and Medicaid (CMS) uses the term non-physician practitioner (NPP), but there is no term that has been defined and supported by both APRN and PA professional organizations. Although the term Advanced Practice Nurse (APN) has been used by some healthcare professionals to refer to an APRN, Advance Practice Registered Nurse is the term endorsed by the APRN consensus statement and the recent Institute of Medicine report.

**METHODS**

**Formation of the Task Force**

In 2011, the AASM created an inter-professional Task Force composed of sleep physicians, advanced practice nurses and physician assistants practicing in the field of sleep to explore utilization, role definition and scope of practice of APRNs and PAs practicing at sleep centers, describe APRN and PA education and determine educational needs with regards to sleep medicine.

**Survey of AASM Member Centers (Survey 1)**

The Task Force electronically surveyed three groups: AASM member centers, the APRNs and PAs associated with these centers, and the APRNs and PAs who attended a dedicated educational course. The first survey (Survey 1) developed by the AASM APRN/PA Task Force queried AASM member centers about their practices. It asked 14 questions that included basic respondent contact information, sleep center structure, utilization of APRNs or PAs and very basic information on roles, and why the center representatives did or did not utilize an APRN or PA. Additionally, these centers formed the sample for initial contact with the second survey (Survey 2, Part 1). Privacy regarding provider-specific information was protected by not requesting direct contact information for the APRN or PA within their centers from the center representatives. After approval by the AASM Board of Directors, this questionnaire was distributed electronically to 1,950 AASM accredited sleep disorders centers, utilizing the email addresses provided in their AASM membership applications. There were 271 respondents (14%) to this initial electronic survey. Those representatives completing the survey included a variety of roles such as physicians, technical managers, and office managers, as well as APRNs or PAs (n = 15).

**Survey of APRNs and PAs in AASM Member Sleep Centers (Survey 2, Part 1)**

To obtain more information directly from APRNs and PAs about their sleep practices, a second survey was developed and approved by the AASM Board of Directors. This more detailed survey included questions about their roles, clinical focuses, educational levels, and educational opportunities in sleep medicine.

The instructions specifically asked that APRNs and PAs complete the survey describing their own roles within their sleep centers.

An invitation to participate in the second survey was sent electronically to the 245 AASM member center representatives who agreed to subsequent contact by the Task Force during the summer of 2012. These individuals were asked to forward the invitation to complete the electronic survey to the APRNs and PAs within their sleep centers. Thirty-one responses were received with one incomplete questionnaire (97% incomplete) and one duplicate questionnaire, leaving 29 responses for analysis (12%). For the duplicate respondent, the first set of responses received was analyzed.

**Survey of APRNs and PAs in Clinical Practice (Survey 2, Part 2)**

After reviewing the survey responses to the second survey, the Task Force sought to obtain information from more APRNs and PAs in an effort to have broader representation of national trends, especially since only 20 states were represented in initial response to Survey 2. During the fall of 2012, the AASM hosted an educational workshop designed for PAs and NPs at their national office in Darien, Illinois. Primarily marketed by the AASM through brochures and emails to members, the workshop was also promoted through word of mouth and internet searches, according to participant feedback. Course participants were asked to complete the same electronic questionnaire distributed to APRNs and PAs previously (Survey 2, Part 1), their responses becoming the second part of the data collected (Survey 2, Part 2). Even though the group who received invitations to participate was much smaller than Part 1, the response rate was significantly higher, more than doubling the responses to Survey 2. There were 44 responses to the survey, which accounted for 62% of the total number of attendees, but included 4 duplicate respondents from Part 1. Although the course was advertised specifically to PAs and NPs, other healthcare professionals attended (e.g., dentists, and physicians). Questionnaires completed by other professions despite instructions that the survey was intended for APRNs and PAs, were removed from the analysis (4 were removed). Excluding duplicates and non-APRN/non-PA respondents, 36 responses were included in the analysis (61% of the total number of APRNs and PAs in attendance).

Of the participants in Survey 2 Part 2 (n = 36), 52% chose sleep as their primary clinical interest, while the other participants chose interests from a list provided which reflected physician specialties commonly found within sleep practices; these included pulmonary, neurology, internal medicine, psychiatry, otolaryngology, pediatrics, and family practice. These data were not collected for Survey 2, part 1. Although all participants in Survey 1 and Part 1 of Survey 2 had an affiliation with an AASM member center, not all participants in Part 2 of Survey 2 were affiliated with an AASM member center.

Almost all respondents provided their name (on a voluntary basis) and other requested demographic information. When these demographics were not provided, there were sufficient responses regarding state, credentials, and institutions to allow the Task Force members to identify and exclude duplicate responses. For duplicate responses, the first set of responses submitted was utilized for analysis. When asked about clinical responsibilities, four APRNs and one PA did not provide a response and were excluded, leaving 60 for this portion of the analysis.
Survey of AASM Member Centers (Survey 1)

Table 1 provides an overview of responses from 271 sleep center representatives. Centers averaged 6.4 ± 4.5 beds, with five centers reporting more than 20 beds. The majority of the practices were hospital-based or nonhospital-based physician-owned practices. Practices employed on average 3.4 ± 3.3 physicians.

Slightly less than half of these AASM member centers (40%) employed an APRN or PA. Among the 109 centers reporting the employment of an APRN or PA, a majority reported the APRNs or PAs had clinical responsibilities providing new and follow-up evaluation, while less than half reported research or administrative responsibilities.

When the AASM member center representatives were asked if they were interested in hiring an APRN or PA, 29% of the respondents indicated there was an interest in hiring an APRN or PA, while 35% reported there was not an interest in hiring an APRN or PA, and the remaining 36% did not answer the question. Respondents were asked to comment on considerations for hiring an APRN or PA. Those comments can be broadly categorized as: (1) reimbursement issues, (2) costs associated with salaries, (3) difficulties associated with finding APRNs and PAs with training in sleep medicine, and (4) concerns about patient and/or physician acceptance of APRNs and PAs.

DISCUSSION

As new models are being considered by AASM for the delivery of care for patients with sleep disorders, understanding the contributions and the roles APRNs and PAs can play as members of the sleep team is essential. These needs were highlighted in a recent editorial by AASM President, Dr. Badr, regarding future models of care and the changes affecting the practice of sleep medicine, which include out-of-center sleep
Within the AASM membership, APRNs also appear to be more prevalent than PAs based on the 2012 data, demonstrating a potential reduction in sleep-boarded physicians. His discussion included the importance of understanding the potential roles of NPs and PAs and their educational needs as we think about the future of sleep medicine.

Based on our survey data, APRNs (specifically NPs) and PAs are involved in the clinical practice of sleep medicine throughout the United States with NPs outnumbering PAs, similar to national published generic and specialty data. Within the AASM membership, APRNs also appear to be more prevalent than PAs based on the 2012 data, demonstrating a distribution of 76% APRNs and 24% PAs (AASM personnel communication).

NPs and PAs responding to this survey reported spending the majority of their time in sleep-related clinical activities, which appears to be higher than a recently published report of survey data of AASM physician members. Similar to the AASM physicians who were surveyed, APRNs and PAs reported devoting only limited time to research, educational, and administrative activities. Although these results may reflect the survey methods that were based largely on AASM accreditation or affiliation, the findings are still intriguing. APRNs and PAs provide many patient-care services, including initial and follow-up visits and explaining the results of sleep studies. They manage a range of sleep disorders, devoting most of their time to more commonly found sleep disorders, such as sleep-disordered breathing, insomnia, and movement disorders.

Table 2—Survey 2 APRN and PA Demographics.

<table>
<thead>
<tr>
<th>AASM Sleep Center Affiliated (Part 1)</th>
<th>AASM Educational Course Attendees (Part 2)</th>
<th>Total Respondents (Survey 2 Parts 1 &amp; 2)</th>
</tr>
</thead>
<tbody>
<tr>
<td>APRN (NP) # (%)</td>
<td>PA # (%)</td>
<td>APRN (NP) # (%)</td>
</tr>
<tr>
<td>18 (62%)</td>
<td>11 (39%)</td>
<td>27 (75%)</td>
</tr>
<tr>
<td>1 (6%)</td>
<td>2 (18%)</td>
<td>1 (4%)</td>
</tr>
<tr>
<td>16 (89%)</td>
<td>9 (62%)</td>
<td>26 (96%)</td>
</tr>
<tr>
<td>1 (6%)</td>
<td>0 (0%)</td>
<td>0 (0%)</td>
</tr>
<tr>
<td>17 (94%)</td>
<td>11 (100%)</td>
<td>25 (93%)</td>
</tr>
</tbody>
</table>

Table 3—Clinical Services Routinely Provided by APRNs and PAs in Sleep Centers or Clinics

<table>
<thead>
<tr>
<th>APRNs (NPs)</th>
<th>PAs</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td>Sleep-related breathing disorders-PAP (positive airway pressure)</td>
<td>38 (93%)</td>
<td>19 (100%)</td>
</tr>
<tr>
<td>Sleep-disordered breathing-other therapies</td>
<td>32 (78%)</td>
<td>16 (84%)</td>
</tr>
<tr>
<td>Discuss results of sleep study</td>
<td>30 (73%)</td>
<td>12 (63%)</td>
</tr>
<tr>
<td>Insomnia-behavioral intervention</td>
<td>26 (63%)</td>
<td>8 (42%)</td>
</tr>
<tr>
<td>Movement disorders</td>
<td>22 (54%)</td>
<td>10 (53%)</td>
</tr>
<tr>
<td>Insomnia-other intervention</td>
<td>16 (39%)</td>
<td>15 (79%)</td>
</tr>
<tr>
<td>Circadian rhythm disorders</td>
<td>0 (0%)</td>
<td>3 (16%)</td>
</tr>
<tr>
<td>Parasomnias</td>
<td>0 (0%)</td>
<td>1 (5%)</td>
</tr>
<tr>
<td>Hypersomnia</td>
<td>0 (0%)</td>
<td>1 (5%)</td>
</tr>
<tr>
<td>Narcolepsy</td>
<td>0 (0%)</td>
<td>1 (5%)</td>
</tr>
</tbody>
</table>

* 4 APRNs and 1 PA did not supply information about their clinical responsibilities.

The challenge for the future is to combine our knowledge of APRN and PA disciplines with our new knowledge of APRN (specifically NP) and PA practices currently described within sleep centers. With the increase in APRNs and PAs in sleep practice, as with all professions engaged in the relatively new
practice of sleep, educational preparation, specialty training, and competencies need to be determined with associated educational offerings, including academic preparation, provided to meet the future challenges of society.

Our survey highlights the lack of formal sleep education for most APRNs and PAs beyond “on-the-job” training with physicians, which in itself lacks standardization. Although this mentored clinical education provides practical experience in the diagnosis and treatment of sleep disorders, the mentorship may reduce clinic productivity initially during the training period. Additionally, physician mentors must focus their time and energy on providing basic didactic instruction in sleep and sleep disorders. Perhaps, this additional education could be delivered more efficiently with a national, standardized continuing education program or formal coursework. Modern technology allows “on-demand” education to be delivered via video, audio, and print formats. Based on both survey responses and informal communications with APRNs and PAs, formal sleep education for APRNs and PAs is lacking prior to employment or during their initial training in a sleep clinic.

Limitations

The first survey (Survey 1) had a number of limitations. First, because the survey was sent to AASM member centers, the results may not reflect the practices of APRNs and PAs in sleep centers that do not maintain AASM membership. Additionally, because the survey focused on APRNs and PAs, those healthcare professionals who actively employ or are interested in employing APRNs and PAs may have been more likely to respond. The survey did not ask the respondents to note their states in which they were located thus, regional variation in ARPN and PA utilization may have been under- or over-estimated. The response rate to Survey 1 was low and may have been explained, in part, by the role of the center representative who was designated to receive email communications from the AASM and by their interest or involvement in the topic. Only 15 (5%) of clinic respondents identified themselves as APRNs or PAs.

In the first portion of the second survey (Survey 2, Part 1), the same limitations described above could influence the response rate. Additionally, respondents were given a relatively short time to respond during the summer vacation period. Of the participants to the first survey (Survey 1), 10% indicated they did not wish to be contacted again. The lack of direct or email contact with APRN and PA stakeholders probably reduced the number of APRNs and PAs who knew about and responded to Part 1 of Survey 2. The second portion of the second survey (Survey 2, Part 2) was administered via email around the time of an in-person course advertised to a target audience of PAs and NPs (an APRN subgroup). The course participants were told verbally about the survey during the course, and this in-person invitation to participate probably increased participation rates. Additionally, the attendees’ decision to attend a dedicated course may also influence their likelihood to participate in the survey.

Despite these limitations, the three surveys provide valuable information about the current roles of APRNs and PAs in sleep medicine and highlight the current need for formal sleep educational opportunities for these groups of providers.

REFERENCES

ACKNOWLEDGMENTS

The authors thank the American Academy of Sleep Medicine (AASM) and Jordana Money and Patrick Murray for assisting with survey preparation, distribution, and collection of data. We would also like to thank incoming Task Force members Kimberly Vana and Denise Lassaline for manuscript review assistance.

SUBMISSION & CORRESPONDENCE INFORMATION

Submitted for publication February, 2014
Accepted for publication February, 2014
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DISCLOSURE STATEMENT

This was not an industry supported study. The analysis was performed at Emory University, Atlanta, GA and Clayton Sleep Institute, St. Louis, MO. Dr. Collop receives royalties from Up to Date. The other authors have indicated no financial conflicts of interest.